SCIENTIFIC RESEARCH DATA FILE PRIVACY NOTICE EU General Data Protection Regulation (2016/679),

Articles 13 and 14 Created: 1.10.2022

1. Controller of the study

Name: University of Wasa

Address: Wolffintie 34, 65200 Vaasa Telephone number: +35829 449 8000 Email address: tietosuoja@uwasa.fi

2. Parties and their responsibilities in research collaboration

The project has a steering group approved by the financier.

3. Director or team responsible for the study

Project manager Hanne Ala-Harja & project group

4. Contact details of the Data Protection Officer

Sami Kinnunen tietosuojavastaava@uwasa.fi +358443248761

5. Persons processing personal data in the study

Hanne Ala-Harja, Timo Poutiainen, Clara Rajalehto, Ville Tuomi & Mian Ajmal

6. Contact person in matters concerning the research data file

Name: Hanne Ala-Harja

Address: Yliopistonranta 10, 65200 Vaasa Telephone number: +35829 449 8626 Email address: hanne.ala-harja@uwasa.fi

7. Name and nature of the research data file, duration of the study

Name of the study: The Potential of the Material Flow Reversal and the Suupohja Transport Corridor

☑ One-time research ☐ Monitoring study/longitudinal study

Duration of the study (duration of the processing of personal data): 1.7.2022-30.9.2023

8. Purpose of the processing of personal data

The material is collected from companies' perceptions and the application of the concepts discussed (survey and interview), and it is anonymized. The material is stored for the time necessary for research purposes, and the material is not used for any other purpose.

9. Lawful basis of processing

The processing of personal data is based on Article 6 or Article 9 of the EU General Data Protection Regulation.

EU General Data Protection Regulation, Article 6(1) (choose one basis for each purpose of processing):
☐ Consent of the data subject
☐ Compliance with a legal obligation to which the controller is subject legal acts:
\Box Performance of a task carried out in the public interest or the exercise of official authority vested in the controller
 □ Scientific or historical research or statistics □ Archiving of research materials and cultural heritage materials
☐ Legitimate interests pursued by the controller or by a third party description of the legitimate interest:
EU General Data Protection Regulation, Article 9 (special categories of personal data):
□Archiving purposes in the public interest, scientific or historical research purposes

10. Personal data included in the research data

The data is anonymized by removing all identifiers that identify the subjects. Personal information is not collected in the study. The materials are treated in strict confidence, in accordance with good research ethics and good scientific practices and the data protection regulation. The material is collected from the perceptions of companies and the application of the concepts being discussed.

11. Sources of personal data

Data collection will take place in the autumn/winter of 2022/2023. The information is collected from the person himself, who answers the survey using an online form, or physically in an interview.

12. Transfer or disclosure of personal data to third parties

Information will not be disclosed outside the research group.

Transfer or or disclosure of personal data to countries outside the EU or EEA 14. Personal data is not transferred outside the EU or EEA (European Economic Area) regions. **Automated decisions** 15. No automatic decisions are made. Safeguards to protect the personal data 15. ☑ The data is confidential Protection of manual material: Personal data is protected from unauthorized access and illegal processing (e.g. destruction, change or transfer). Each processor can only process the personal data he needs for his work. The documents are stored in a locked room, protected from outsiders. Documents are printed only when necessary, and paper printouts are destroyed after use. Personal data processed in IT systems: ☐ Username ☐ Password ☒ Logging ☐ Access control \square other, please specify: Processing of direct identifiers: ☑ Direct identifiers will be removed in the analysis phase ☐ The material to be analysed includes direct identifiers. Reason: (reason for the retention of direct identifiers)

16. Processing of personal data after the completion of the study

oxtimes The research material will be deleted oxtimes The research data file will be archived oxtimes without identifiers oxtimes with identifiers

Where is the material archived and for how long: The storage facilities provided by the University of Vaasa are utilized for the storage of the materials, and the guidelines of the University of Vaasa for the processing of the data materials are followed. The material is destroyed when it is no longer considered necessary to keep it, however for a maximum of 10 years.

17. Rights of the data subject and their restrictions

Data subjects have the right to withdraw their consent provided that the processing of the personal data is based on consent.

Data subjects have the right to lodge a complaint with the Data Protection Ombudsman's Office if they think their personal data has been processed in violation of applicable data protection laws.

Derogation from the rights of the data subject under the EU General Data Protection Regulation in scientific research is possible subject to the following safeguards:

- 1. The processing of personal data is based on a research plan.
- 2. A person or team responsible for the study has been appointed.
- 3. The personal data will only be used and disclosed for purposes of historical or scientific research or other compatible purposes. Data relating to any specific individual will not be disclosed to third parties.
- 4. If the study includes processing of personal data referred to in Article 9(1) (special categories of personal data) and Article 10 (personal data related to criminal convictions and offences) of the Data Protection Regulation, in addition to complying with sections 1–3 above, a data protection impact assessment under Article 35 of the Data Protection Regulation must be made and submitted to the Data Protection Ombudsman's Office 30 days before the start of the study.

This study will derogate from the following rights of the data subject under the EU General
Data Protection Regulation:
☐ Right of access (Article 15).
☐ Right to rectification (Article 16).
☐ Right to erasure (Article 17). The right to erasure shall not apply to scientific or
historical research where it is likely to prevent or impede the processing.
□Right to restriction of processing (Article 18).
□ Right to object (Article 21).

The contact person for matters concerning the rights of data subjects is the Data Protection Officer, whose contact information is provided in section 4 of this notice.